METHOD AND SYSTEM FOR ACCESSING A FILE

FIELD OF THE INVENTION

The present invention relates generally to computer systems, and more particularly to accessing files.

BACKGROUND

In the computer field there are two major approaches for handling file accesses: stateless and state-full. In the stateless approach, the information needed to access a file is sent with each file access request. In the state-full approach, a file is opened with certain attributes such as read only, locked, shared, and the like, and a handle is returned to the opened file. In each subsequent request for access to the file, the client sends the received handle together with any access request (e.g., read request, write request, or request to modify one or more attributes). The file system uses the handle to locate state regarding the file and processes the request.

Each approach to handling file accesses has advantages and disadvantages. In the stateless approach, a great deal of information is typically passed with each request. With each request, a client may be required to send data that identifies

- 1 -

the file together with information that authenticates the client. A server receiving a request for a file access from the client may be required to authenticate the client and determine whether the client has rights to access the file in

5   the manner requested. If a client frequently accesses a file to read or write small chunks of data, considerable overhead may occur for the client, the network or networks over which the access request passes, and a server servicing the file access request. In addition, a client may be required to keep

10 track of where in the file the next read or write should occur. In stateless file accesses, a client is typically not allowed to lock a file for the client's exclusive use, as this requires state.

In the state-full approach, there are problems associated

15 with what to do with an open file if a client crashes. A file that is opened and locked by a client that crashes may remain locked until a system administrator or other entity having authority over the file determines that the lock should be released. If a file lock is released and the client has not

20 crashed but instead is just taking a long time to make its next file access request, this may cause errors on the client and/or consistency problems with the file.

Alternatively, or in addition, upon a client crash or connection disruption, a file may be closed and some state information associated with the file may be disposed of. When the file is re-opened, this state information may need to be

5   reconstructed, resulting in extra processing and overhead.

What is needed is a method and system to provide fast state-full access to files while avoiding the disadvantages associated with state-full access.

10   **SUMMARY**

Briefly, the present invention provides a method and system for providing state-full access to files and for resuming access should a connection be broken. In one aspect of the invention, a resume key is returned to the client that

15   allows the client to request a duplicate handle to an open file. The duplicate handle can be used to access the file in the same manner as the handle used to open the file. When a connection is broken, the file remains open on the server for a period of time and the state information associated with the

20   file is maintained. If a request for a duplicate handle together with a resume key is received in time, the duplicate handle is returned to the client. The client may then use the

duplicate handle to access the file as if the connection had never been broken. In essence, this provides a persistent handle to an open file.

In addition, even if a connection is not broken, a client may request one or more duplicate handles and establish other channels (also known as connections) with which to access the file. A duplicate handle may be set up to provide a fast path for reads and writes (e.g., over a channel that is optimized for reads and writes). Then, the client may access the file over any established channel using the appropriate handle.

Other advantages will become apparent from the following detailed description when taken in conjunction with the drawings, in which:

BRIEF DESCRIPTION OF THE DRAWINGS

FIGURE 1 is a block diagram representing a computer system into which the present invention may be incorporated;

FIG. 2 is a block diagram representing a system in which a client accesses a file on a server through two communication channels in accordance with an aspect of the invention;

FIG. 3 is a block diagram representing a system in which a client accesses a file on a server through two channels and

in which the client and the server reside on the same machine in accordance with an aspect of the invention;

FIG. 4 is a block diagram representing states of one system operating in accordance with an aspect of the invention;

FIG. 5 is a block diagram representing a system used to illustrate examples of the states referenced in FIG. 4 according to an aspect of the invention;

FIG. 6 is a block diagram representing a data structure that may be used for the resume key according to an aspect of the invention;

FIG. 7 is a block diagram representing a system configured to operate in a server cluster environment in accordance with an aspect of the invention;

FIG. 8 is a block diagram representing a system configured to operate in a distributed file system environment in accordance with an aspect of the invention; and

FIG. 9 is a dataflow diagram that generally represents exemplary steps that may occur when using a resuming key, in accordance with aspects of the present invention.

DETAILED DESCRIPTION

EXEMPLARY OPERATING ENVIRONMENT

Figure 1 illustrates an example of a suitable computing

5   system environment 100 on which the invention may be

implemented. The computing system environment 100 is only one

example of a suitable computing environment and is not

intended to suggest any limitation as to the scope of use or

functionality of the invention. Neither should the computing

10   environment 100 be interpreted as having any dependency or

requirement relating to any one or combination of components

illustrated in the exemplary operating environment 100.

The invention is operational with numerous other general

purpose or special purpose computing system environments or

15   configurations. Examples of well known computing systems,

environments, and/or configurations that may be suitable for

use with the invention include, but are not limited to,

personal computers, server computers, hand-held or laptop

devices, multiprocessor systems, microcontroller-based

20   systems, set top boxes, programmable consumer electronics,

network PCs, minicomputers, mainframe computers, distributed

computing environments that include any of the above systems or devices, and the like.

The invention may be described in the general context of computer-executable instructions, such as program modules, being executed by a computer. Generally, program modules include routines, programs, objects, components, data structures, and so forth, which perform particular tasks or implement particular abstract data types. The invention may also be practiced in distributed computing environments where tasks are performed by remote processing devices that are linked through a communications network. In a distributed computing environment, program modules may be located in both local and remote computer storage media including memory storage devices.

With reference to Figure 1, an exemplary system for implementing the invention includes a general-purpose computing device in the form of a computer 110. Components of the computer 110 may include, but are not limited to, a processing unit 120, a system memory 130, and a system bus 121 that couples various system components including the system memory to the processing unit 120. The system bus 121 may be any of several types of bus structures including a memory bus

or memory controller, a peripheral bus, and a local bus using any of a variety of bus architectures. By way of example, and not limitation, such architectures include Industry Standard Architecture (ISA) bus, Micro Channel Architecture (MCA) bus, Enhanced ISA (EISA) bus, Video Electronics Standards Association (VESA) local bus, and Peripheral Component Interconnect (PCI) bus also known as Mezzanine bus.

Computer 110 typically includes a variety of computer-readable media. Computer-readable media can be any available media that can be accessed by the computer 110 and includes both volatile and nonvolatile media, and removable and non-removable media. By way of example, and not limitation, computer-readable media may comprise computer storage media and communication media. Computer storage media includes both volatile and nonvolatile, removable and non-removable media implemented in any method or technology for storage of information such as computer-readable instructions, data structures, program modules, or other data. Computer storage media includes, but is not limited to, RAM, ROM, EEPROM, flash memory or other memory technology, CD-ROM, digital versatile disks (DVD) or other optical disk storage, magnetic cassettes, magnetic tape, magnetic disk storage or other magnetic storage

devices, or any other medium which can be used to store the desired information and which can accessed by the computer 110. Communication media typically embodies computer-readable instructions, data structures, program modules, or other data in a modulated data signal such as a carrier wave or other transport mechanism and includes any information delivery media. The term "modulated data signal" means a signal that has one or more of its characteristics set or changed in such a manner as to encode information in the signal. By way of example, and not limitation, communication media includes wired media such as a wired network or direct-wired connection, and wireless media such as acoustic, RF, infrared and other wireless media. Combinations of the any of the above should also be included within the scope of computer-readable media.

The system memory 130 includes computer storage media in the form of volatile and/or nonvolatile memory such as read only memory (ROM) 131 and random access memory (RAM) 132. A basic input/output system 133 (BIOS), containing the basic routines that help to transfer information between elements within computer 110, such as during start-up, is typically stored in ROM 131. RAM 132 typically contains data and/or

program modules that are immediately accessible to and/or presently being operated on by processing unit 120. By way of example, and not limitation, Figure 1 illustrates operating system 134, application programs 135, other program modules

5   136, and program data 137.

The computer 110 may also include other removable/non-removable, volatile/nonvolatile computer storage media. By way of example only, Figure 1 illustrates a hard disk drive 140 that reads from or writes to non-removable, nonvolatile

10   magnetic media, a magnetic disk drive 151 that reads from or writes to a removable, nonvolatile magnetic disk 152, and an optical disk drive 155 that reads from or writes to a removable, nonvolatile optical disk 156 such as a CD ROM or other optical media. Other removable/non-removable,

15   volatile/nonvolatile computer storage media that can be used in the exemplary operating environment include, but are not limited to, magnetic tape cassettes, flash memory cards, digital versatile disks, digital video tape, solid state RAM, solid state ROM, and the like. The hard disk drive 141 is

20   typically connected to the system bus 121 through a non-removable memory interface such as interface 140, and magnetic disk drive 151 and optical disk drive 155 are typically

connected to the system bus 121 by a removable memory interface, such as interface 150.

The drives and their associated computer storage media, discussed above and illustrated in Figure 1, provide storage

5   of computer-readable instructions, data structures, program modules, and other data for the computer 110. In Figure 1, for example, hard disk drive 141 is illustrated as storing operating system 144, application programs 145, other program modules 146, and program data 147. Note that these components

10   can either be the same as or different from operating system 134, application programs 135, other program modules 136, and program data 137. Operating system 144, application programs 145, other program modules 146, and program data 147 are given different numbers herein to illustrate that, at a minimum,

15   they are different copies. A user may enter commands and information into the computer 20 through input devices such as a keyboard 162 and pointing device 161, commonly referred to as a mouse, trackball or touch pad. Other input devices (not shown) may include a microphone, joystick, game pad, satellite

20   dish, scanner, a touch-sensitive screen of an handheld PC or other writing tablet, or the like. These and other input devices are often connected to the processing unit 120 through

a user input interface 160 that is coupled to the system bus, but may be connected by other interface and bus structures, such as a parallel port, game port or a universal serial bus (USB). A monitor 191 or other type of display device is also

5   connected to the system bus 121 via an interface, such as a video interface 190. In addition to the monitor, computers may also include other peripheral output devices such as speakers 197 and printer 196, which may be connected through an output peripheral interface 190.

10   The computer 110 may operate in a networked environment using logical connections to one or more remote computers, such as a remote computer 180. The remote computer 180 may be a personal computer, a server, a router, a network PC, a peer device or other common network node, and typically includes

15   many or all of the elements described above relative to the computer 110, although only a memory storage device 181 has been illustrated in Figure 1. The logical connections depicted in Figure 1 include a local area network (LAN) 171 and a wide area network (WAN) 173, but may also include other

20   networks. Such networking environments are commonplace in offices, enterprise-wide computer networks, intranets and the Internet.

When used in a LAN networking environment, the computer
110 is connected to the LAN 171 through a network interface or
adapter 170. When used in a WAN networking environment, the
computer 110 typically includes a modem 172 or other means for
5   establishing communications over the WAN 173, such as the
Internet. The modem 172, which may be internal or external,
may be connected to the system bus 121 via the user input
interface 160 or other appropriate mechanism. In a networked
environment, program modules depicted relative to the computer
10   110, or portions thereof, may be stored in the remote memory
storage device. By way of example, and not limitation, Figure
1 illustrates remote application programs 185 as residing on
memory device 181. It will be appreciated that the network
connections shown are exemplary and other means of
15   establishing a communications link between the computers may
be used.

EXEMPLARY SYSTEMS FOR ACCESSING A FILE

FIG. 2 is a block diagram representing a system in which
20   a client accesses a file on a server through two communication
channels in accordance with an aspect of the invention. A
client 205 establishes a first connection with a server 210

- 13 -

through a channel 220. The client 205 authenticates itself

and opens a file 215 by sending a file access request through

the channel 220. The server 210 determines whether the client

205 is authentic and whether the client 205 has permission to

5   access the file 215 in the manner requested. If so, the

server 210 indicates to the client 205 that the file 215 is

opened.

The client 205 may then query the server 210 for a resume

key for the opened file. In some embodiments of the

10   invention, the resume key is automatically returned without a

separate query from the client 205 (e.g., when the server 210

indicates to the client 205 that the file 215 is opened).

The client 205 may then use the resume key on one or more

other channels to obtain one or more other handles to the same

15   opened file. Each other handle obtained in this way allows

the same accesses to the file as the first handle.

It should be understood that obtaining a handle to the

same open is different than opening the file via another

channel and obtaining another handle to the file. For

20   example, a client might obtain a handle to an open file and

obtain a byte-range lock on the first 2,000 bytes of the file.

If the same or another client attempts to open the same file

and obtains another handle to the file and then attempts to modify bytes within the first 2,000 bytes of the file using the other handle, under normal file access mechanisms, the server would deny the client's request.

5   A client that obtains another handle to the open, however, can modify the first 2,000 bytes with the other handle. This is because the server treats accesses to the file through these special handles identically.

To keep the resume key secure, the resume key may be
10 encrypted via a session key. After encrypting the resume key via a session key, the server 210 may then send the resume key to the client 205 via the first channel 220. Thereafter, to obtain other handles to the same open, the client 205 may sign the resume key and send it to the server 210. The server 210
15 may then verify the authenticity of the resume key and provide a duplicate handle to the open if appropriate.

To avoid replay of a resume key, several steps may be taken. Typically, a client receives a resume key through a first connection (e.g., the connection with which the client
20 first opens the file). The first connection is associated with a session key. The client may wish to use the resume key to obtain a handle to the same open through a second

connection. The second connection is associated with another
session key. In conjunction with establishing the second
connection, the client and/or server may each authenticate
each other. To obtain the handle through the second
5   connection, the client may sign the resume key with the
session key associated with the first connection and encrypt
the signed resume key using the session key associated with
the second connection. The client may then send the encrypted
and signed resume key through the second connection. The
10   server then decrypts to obtain the signed key and validates
the signature.

Alternatively, the client may sign the resume key with
the session key associated with the first connection, re-sign
the signed resume key with the session key associated with the
15   second connection, and overwrite the old signature with the
new signature. The client then sends the re-signed resume key
through the second connection to the server. The server may
then take the same steps the client did to verify that the
signatures match.

20   In other environments, the resume key need not be
encrypted or protected. For example, the resume key may be
passed over a private network. In such cases, the resume key

may be passed in plain text. It will be recognized that any mechanism or method for keeping the resume key secure (or not secure for that matter) may also be used without departing from the spirit or scope of the present invention.

5   The client 205 may give the resume key and any session key to one or more other clients (not shown). These other clients may then use the session key and resume key to obtain duplicate handles to the same open and access the file as if they had the first handle to the file.

10   The client 205 may include a cache that caches data sent to and returned from the file 215 on the server 210. File caching on the client 205 may be turned off whenever the client 205 establishes more than one handle to the same open. This may be done to speed reads and writes to the file, for

15 cache consistency, or for other reasons depending on the application. In other embodiments, the client 205's cache manager (not shown) may cache data sent to and returned from a file on the server 210, even if the data is sent and received on more than one channel.

20   The client 205 may take advantage of remote direct memory access (RDMA) to speed access over one or more channels that access a single open. For example, the client 205 may

establish a channel to access the file 215 using an RDMA channel. This may allow, among other things, direct transfer of data from the memory on one computer to another computer without processing by the CPU or operating system.

5   The channels 220 and 225 may be established over the same or different network interfaces. For example, one interface may be particularly suited for fast reads and writes while another interface may be more suited for performing other types of file accesses (e.g., opening, closing, and changing

10   access modes for a file). In addition, the client 205 and the server 210 may both reside on the same computing device.

FIG. 3 is a block diagram representing a system in which a client accesses a file on a server through two channels and in which the client and the server reside on the same machine

15   in accordance with an aspect of the invention. A client 205 may use a network redirector to retrieve files. The network redirector may determine whether the file resides locally or remotely. If the file resides locally, the network director may send the file access request through a TCP/IP loopback

20   path. The TCP/IP loopback path makes the request appear to go onto the network. It also makes the request appear to the server 210 to have come from the network. It will be

recognized that this may be used, for example, to shield the

redirector 330 from having to be aware of whether the file

resides locally or remotely as both remote and local files are

accessed through the same mechanism. It further simplifies

5   the network redirector 330 as it can simply rely on the server

210 to determine whether the client 205 should be given the

access rights it seeks. That is, by sending the request to

the server 210, instead of trying to access the file directly,

the network redirector 330 does not need to be aware of file

10   access policies that may apply to the client 205's access to

the file 215 as the server 210 does this.

After requesting access to the file 215, the network

redirector 330 may then query the server 210 for a resume key

for the file open for the file 215. For subsequent file

15   access requests to the file 215, the network redirector 330

may use a channel 225 to access the file directly instead of

going through the channel 220. As loopback paths can be quite

slow (compared to direct access), this can speed file access

considerably while still allowing the server 210 to determine

20   whether the client 205 should be allowed the access it seeks

with respect to the file 215.

The resume key may also be used to persist accesses to a file even if network connections between the client and server are temporarily disrupted. After a client obtains a resume key, the client can use the resume key to access the file

5   through another channel. Should a first channel become unavailable (or disconnected), a client may use the resume key to establish another channel to the server to obtain access to the file.

The server may be configured to keep the file open to the

10   client for a fixed or selectable amount of time or until another client requests access to the file. If the client does not access the file in the set amount of time and the server receives a request for access to the file from another client, the server may then close the file. If the client

15   accesses the file (using the resume key) before the time expires, the server may assure or guarantee to the client that the file has not been changed since the client last accessed it. If the time has expired but no other changes have occurred to the file (e.g., another client did not request a

20   change to the file), the server may inform the client that nothing has changed with the file since the client last opened the file. If the time expired and the file was changed by

another client, the server may then tell the client that the file has been changed. This, in essence, amounts to a persistent handle to an open file that is resilient to network disruptions. It will be recognized that this has many

5 applications with respect to databases, as database applications strive to maintain consistency and do not deal well with network disruptions.

To deal with server crashes or otherwise, the server may store resume keys and information associated with opened files

10 in both volatile and non-volatile memory. The information associated with opened files may include session keys, authentication information, the mode in which the files were opened, other state information, and the like. The resume keys and information in volatile memory (e.g., RAM) may be

15 used to provide quick access to files and duplicate handles to clients sending proper resume keys. The resume keys and information stored in non-volatile memory (e.g., a hard disk) may be used to reconstruct the resume keys and information in volatile memory should the server crash.

20 If the server crashes and reboots, a process may be executed early in the boot process to read the resume keys and open any previously opened files associated with the resume

keys before any other entity can open the files. This may be done, for example, so as to guarantee to a client that uses a resume key to access an open, that the file has not been modified by another process. Using the information associated

5   with the opened files, the files may be opened in the state they were before the server crashed. As described previously, the server may keep each file open for a fixed or selectable amount of time depending of whether a client that has a resume key requests access to the file associated with the resume key

10   and/or whether another client requests access to the file.

A client may use one channel for encrypted data and another channel for unencrypted data. For example, when reading or writing data to a file, a client may use an encrypted channel. When reading attributes of a file, the

15   client may use an unencrypted channel.

STATE DIAGRAM OF A SYSTEM FOR ACCESSING A FILE

FIG. 4 is a block diagram representing states of one system operating in accordance with an aspect of the

20   invention. Before the states in the state diagram occur, several things happen on a client and server. The server is turned on and boots up. A file server component (i.e., SRV

409) in the kernel of the server is initialized and is ready

to receive requests for file accesses. A component for

receiving requests for handles to open files is initialized.

This component is sometimes referred to as a light weight

5   input/output server (LWIO Server) (e.g., LWIO Server 410).

For example, referring to FIG. 5, a server 505 boots up.

A SRV 409 is initialized and ready to receive requests for

accesses to files. A LWIO Server 410 is also initialized and

ready to receive requests.

10   After SRV 409 and the LWIO server 410 are initialized and

ready to receive requests, the LWIO server 410 registers a

data blob with SRV 409. When the LWIO server 410 registers

with the SRV 409, this indicates to the SRV 409 that the LWIO

server 410 exists and that clients may begin requesting resume

15 keys. The SRV 409 stores the data blob in a storage medium

accessible to SRV 409. When the client requests a resume key,

the data blob that the server 410 registers with the SRV 409

will be conveyed to the client together with the resume key.

The data blob may include any data in any format without

20 departing from the spirit or scope of the invention. The data

blob may include, for example, the time at which the server

was booted, network capabilities, what kinds of services the server supports, or any other data.

For example, referring to FIG. 5, the LWIO server 410 registers a data blob 510 with the SRV 409. The SRV 409

5   stores the data blob on a storage medium accessible by the SRV 409.

At transition 401, via a redirector, a client 415 sends an open file request to the SRV 409. The SRV 409 opens the requested file and returns a file ID (FID), a resume key that

10 it generates, the data blob registered in the first step by the LWIO Server 410, and challenge data to the redirector. The FID is used by the redirector to issue file I/O requests to the SRV 409 for the file it opened on behalf of the client 415. The resume key is unique to the file just opened. It

15 may be stored in a table or other data structure accessible to the SRV 409 for easy access. The challenge data is used as part of the authentication step by the client 415.

For example, referring to FIG. 5, a client application 520 sends an open file request via a redirector 525. The open

20 file request is sent to the SRV 409. The SRV 409 opens the requested file and prepares to returns the information including the data blob 510.

At transition 402, the SRV 409 returns a file ID. The

client 415 then receives a file handle from the redirector

indicating the open was successful and queries the redirector

for the data blob, server resume key, and challenge data that

5   the SRV 409 returned. At this point, the client can interpret

the data blob and contact the LWIO server 410.

For example, referring to FIG. 5, the SRV 409 returns the

FID and other information. The client application 520

receives the information and may interpret the data in the

10   data blob 510 in order to contact the LWIO Server 410.

At transition 403, the client 415 opens a connection to

the LWIO Server 410. The client 415 sends the LWIO Server 410

the server resume key, signed challenge data, and its own

challenge response data in a registration request to get a FID

15   for the new connection. For example, referring to FIG. 5, the

client application 520 sends the data to the LWIO Server 410

via the LWIO client 530.

At transition 404, the LWIO Server 410 sends the server

resume key, signed challenge data, and challenge response data

20   to the SRV 409. The SRV 409 locates file information using

the resume key and validates the signed data. The SRV 409

then duplicates the original file handle used to open the

file. For example, referring to FIG. 5, the LWIO server 410 sends the information received from the LWIO client 530 to the SRV 409.

At transition 405, the SRV 409 returns the duplicated
5 file handle together with signed challenge response data to the LWIO Server 410. At this time there are two separate processes (i.e., the LWIO server 410 and the SRV 409) that share a common resource (file object) and the LWIO Server 410 has authenticated the client 415. For example, referring to
10 FIG. 5, the SRV 409 returns the duplicated file handle and signed challenge response data to the LWIO Server 410.

At transition 406, the LWIO server 410, returns a FID for the duplicated file handle and signed challenge response data to the client 415. For example, referring to FIG. 5, the LWIO
15 Server 410 returns the information to the LWIO client 530, which passes it to the client application 520.

At transition 407, the client 415 validates the signed challenge response to authenticate the LWIO Server 410. For example, referring to FIG. 5, the client application 520
20 authenticates the LWIO server 410. At this point, client application 520 may use either connection to access the opened file on the SRV 409.

Any requests to be sent over the new connection are
intercepted by the LWIO client 530 and sent to LWIO server 410
together with the resume key and signing that authenticates
the client. The LWIO server 410 then uses the data to

5   authenticate the client and the resume key sent from the LWIO
client 530 to access the file and read or write data.

The client may use either connection to access the file.
Accesses over both connections may take place simultaneously.

In some embodiments of the invention, the client 415

10 requests the resume key in a query separate from the initial
opening of the file. In other embodiments of the invention,
the resume key is automatically sent to the client 415
whenever a client opens a file.

15 *DATA STRUCTURE OF AN EXEMPLARY RESUME KEY*

FIG. 6 is a block diagram representing a data structure
that may be used for the resume key according to an aspect of
the invention. An ID field 610 includes information that is
used to locate a particular open file in a server's internal

20 table. The server's internal table indicates the files the
server has open.

A time stamp field 615 includes information that
indicates when the file was opened. This may be used, for
example, to limit the time that the server will keep a file
opened if no requests to access the file are received (e.g.,
5   to avoid having a file locked by a process that has crashed).

A process ID field 620 includes information that
identifies the process that opened the file. The process ID
field 620 may be used to identify byte-range locks and
properties across processes. The information in the resume
10   key may be used to index other state information regarding an
open. Each of the fields in the resume key 605 may be sixty-
four bytes or any other convenient length.

A client should treat the resume key 605 as an opaque
block. That is, the client should not rely on any information
15   found in the resume key 605. This should be done so that the
resume key 605 may be changed for server convenience or
otherwise without changing code on the client.

OTHER SYSTEMS FOR ACCESSING A FILE

20   FIG. 7 is a block diagram representing a system
configured to operate in a server cluster environment in
accordance with an aspect of the invention. Servers 705 and

706 may be arranged in a cluster. In this arrangement, they

share a disk 720. Only one of the servers 705 and 706 may own

(i.e., control) the disk 720 at a time. In a failover

scenario, the disk 720 becomes owned by the server that did

5   not fail.

A scenario in which one of the servers crashes and the

other server takes over is illustrative in describing how an

aspect of the invention can persist resume keys even through a

failover. In this scenario, the client 725 sends a request to

10   access a file 730 to the cluster. Through a server component

(i.e., a SRV 710) the active server (i.e., the server 705)

requests that the file 730 be opened on the disk 720. The

client 725 requests a resume key for the open to the file 730.

Together with returning the resume key to the client 725, the

15   resume key is also stored in a table on the server 705 (i.e.,

a table 716) and a table on the disk 720 (i.e., a table 718).

Then, the server 705 crashes.

When the server 705 crashes, the server 706 takes

ownership of the disk 720. The server 706 then rebuilds a

20   resume key table 716 from the table 718 stored on the disk

720. The client 725 tries to establish a connection to access

the file 730. Typically, unknown to the client 725, this

connection is established through the server 706. The client

725 sends the resume key through the connection to the server

706, which is then able to use the table 717 to access the

file 730.

5   The server 706 may include a service (not shown) that

takes certain actions when the server 705 crashes. For

example, as soon as the server 705 crashes and the server 706

takes over ownership of the disk 720, the service may read the

resume key table 718 on the disk 720 and open any opened files

10   before any other entity can open the files. This may be done,

for example, so as to guarantee to a client that uses a resume

key to access an open, that the file has not been modified by

another process. In addition, other state information may be

stored on the disk 720, such as locks and other state

15   information regarding the file 730. In one embodiment, the

service may obtain this information from the disk 720 and

restore state information regarding opened files on the server

706. In another embodiment of the invention, when the file

730 has certain kinds of state information (e.g., the file 730

20   was opened with a lock), instead of attempting to restore the

state information, for simplicity, the service may indicate

that the resume key can no longer be used to obtain a
duplicate handle to the file 730.

FIG. 8 is a block diagram representing a system
configured to operate in a distributed file system environment

5   in accordance with an aspect of the invention. Servers 805
and 806 are similar to server 705 and 706 of FIG. 7. Each of
the servers 805 and 806, however, has its own separate storage
(i.e., one of disks 820 and 821). In one aspect of the
invention, the disks 820 and 821 are read-only for clients

10   that are trying to access information on the disks. In
addition, the disks 820 and 821 may include the same data.
For example, a company may wish to provide fast access to the
company's web site from various locations around the world.
To do this, the company may set up servers around the world

15   each with its own disk that has data and programs from which
the company's web site can be constructed. Then, clients that
wish to view pages of the company's web site may be directed
to a particular server depending on which server can best
serve each client's request. A file replication system may be

20   used to distribute the content to the servers.

When a client 825 wishes to access a file, the client 825
may first ask a distributed file system server (not shown)

which server the client should request the file from. Assume, for example, that the distributed file system server told the client 825 that it could access the file from the server 805. The client 825 then requests access to the file from the

5   server 805. Through a server component (i.e., a SRV 810) the server 805 requests that the file 830 be opened on disk 820. The client 825 then requests (or is automatically given) the resume key for the file 830.

A system administrator may decide that the server 805

10   should be shut down for administrative reasons. Upon notification that it will shortly be shut down, the server 805 may begin migrating resume keys for its open files to the server 806. When the client 825 requests a handle to an open using a resume key that has been migrated to the server 806,

15   the server 805 may inform the client 825 that the client 825 can access the file at the server 806. The client 825 may then open a connection with the server 806, send the resume key, and obtain a handle to an open for a file 831 that corresponds to the file 830.

20

FLOWCHART

FIG. 9 is a dataflow diagram that generally represents exemplary steps that may occur when using a resuming key, in accordance with aspects of the present invention. The process begins at block 905. At block 910, a first client opens a file object using a first connection. At block 915, the client requests and receives the resume key. At block 920, the first connection is broken. This may occur because of a network disruption or otherwise. At block 925, the server waits for a period of time. If the resume key is received before a time has expired or before another client has requested access to the file (blocks 930, 940, and 945), processing continues at block 935. If the resume key has not been received, processing continues at block 940. At block 940, if the time has expired, processing continues at block 945; otherwise, the server waits for a period of time (block 925). At block 945, if another client is not requesting access to the file, processing continues at block 925.

Note that blocks 930, 940, and 945 may be executed in any order and may be triggered by an event (e.g., the server receives a request from another client that is requesting access to the file, the server receives the resume key, or some other event).

At block 945, if the time has expired (which it has if this block has been reached) and another client is requesting access to the file, processing continues at block 950. At block 950, the file open is closed and the other client is allowed access to the file. At block 955, if the first client attempts to access the open file using the resume key, the first client is informed that the file is no longer accessible through the resume key and that the file has been accessed by another client, which may have changed the file.

If the resume key is received either 1) before the time has expired or 2) before another client requests access to the file, processing branches from block 930 to block 935. At block 935, the client is informed either explicitly (e.g., through a message) or implicitly (e.g., by allowing access through the resume key) that the file has not been changed by another client. At block 960, file access is resumed through the second connection from the first client. At block 965, processing ends. This process may be executed each time a resume key is returned by a server.

As can be seen from the foregoing detailed description, there is provided an improved method and system for accessing files. While the invention is susceptible to various

modifications and alternative constructions, certain

illustrated embodiments thereof are shown in the drawings and

have been described above in detail. It should be understood,

however, that there is no intention to limit the invention to

5 the specific forms disclosed, but on the contrary, the

intention is to cover all modifications, alternative

constructions, and equivalents falling within the spirit and

scope of the invention.